



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE  
(Attorney Docket Nos. 107262.199US1 and 008475USAP0/NBD/OPTICS/JB1)

In re Application of: West et al.

Serial No. 10/623,665

Filing Date: July 21, 2003

) Examiner: To Be Assigned  
)  
)  
)  
)

Group Art Unit: 2874

For: OPTICAL READY WAFERS

\*\*\*\*\*

**CERTIFICATE OF FIRST CLASS MAILING UNDER 37 CFR §1.8**

I hereby certify that this correspondence is being deposited with the United States Postal Service as First Class Mail addressed to:  
Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on the date below.

Date: 2/5/04

Sarah Farris  
Sarah Farris

\*\*\*\*\*

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

**TRANSMITTAL LETTER**

Dear Sir:

Enclosed herewith for filing in the above-identified patent application are the following documents:

1. Supplemental Information Disclosure Statement (2 pages);
2. Form PTO-1449 (1 page) Citing Three References;
3. Copies of the Three Cited References;
4. Copy of PCT Invitation to Pay Additional Fees with Partial International Search Report From Corresponding PCT Application No. PCT/US03/22687 (*in which the 3 references were originally cited*);
5. Certificate of First Class Mailing, and;
6. Return Postcard.

No fees are believed to be due in connection with this submission. However, please charge any fees that might be due or credit any overpayment to our Deposit Account No. 08-0219.

Respectfully submitted,

Date: February 5, 2004  
HALE AND DORR LLP  
60 State Street  
Boston, MA 02109  
(617) 526-6000  
(617) 526-5000 (Facsimile)

Eric L. Pahl  
Eric L. Pahl, Reg. No. 32,590  
Attorney/Agent for Applicants



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE  
(Attorney Docket Nos. 107262.199US1 and 008475USAP0/NBD/OPTICS/JB1)

In re Application of: West et al.

Examiner: To Be Assigned

Serial No. 10/623,665

Group Art Unit: 2874

Filing Date: July 21, 2003

For: OPTICAL READY WAFERS

\*\*\*\*\*

**CERTIFICATE OF FIRST CLASS MAILING UNDER 37 CFR §1.8**

I hereby certify that this correspondence is being deposited with the United States Postal Service as First Class Mail addressed to:  
Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on the date below.

Date: 2/5/04

*Sarah Farris*  
Sarah Farris

\*\*\*\*\*

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

**SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT**

Dear Sir:

Applicants submit herewith the references on the attached Form PTO-1449 and copies of the references cited therein. This Information Disclosure Statement is being filed under 37 C.F.R. § 1.97 (b) (3), before the mailing date of a first Office Action on the merits. I hereby state under 37 C.F.R. § 1.97 (e) (1) that each item of information contained in this Information Disclosure Statement was cited in a communication (copy enclosed) from a foreign patent office on a counterpart foreign application not more than three months prior to the filing of this Information Disclosure Statement.

This submission does not represent that a search has been made and does not constitute an admission that the listed documents are material to the patentability of the invention, or that the listed document is prior art. Applicants reserve the right to take appropriate action to establish the patentability of the disclosed invention over the listed documents, should one or more of the documents be applied against the claims of the present application. If it should be determined that any of the listed documents constitute prior art under United States law, Applicants reserve the right to present to the Office relevant facts and law regarding the significance of such documents to the patentability of the claimed invention.

It is respectfully requested that the Examiner initial and return copies of the enclosed form PTO-1449 with the next Patent Office communication.

Supplemental Information Disclosure Statement  
Appln. No. 10/623,665  
Page 2

Please charge any fees that might be due in connection with this matter to Deposit Account Number 08-0219. If there are any questions, please call the undersigned at the telephone number indicated below.

Respectfully submitted,

Date: February 5, 2004  
HALE AND DORR LLP  
60 State Street  
Boston, MA 02109  
(617) 526-6000  
(617) 526-5000 (Facsimile)



---

Eric L. Prah, Reg. No. 32,590  
Attorney/Agent for Applicants

Subt. For, PTO-1449			Docket Number 107262.199 US1		Application Number 10/623,665	
<b>INFORMATION DISCLOSURE IN AN APPLICATION</b> <i>(Use several sheets if necessary)</i>			Applicant <b>Bjorkman et al.</b>			
			Filing Date <b>July 21, 2003</b>		Group Art Unit <b>To Be Assigned</b>	
Sheet	1	OF				

U.S. Patent Documents						
EXAMINER INITIAL	DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
	5,987,196	Nov. 16, 1999	Noble	385	14	Nov. 6, 1997

Foreign Patent Documents							
EXAMINER INITIAL	DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION	
						YES	NO
	0 661 561 A2	Dec. 21, 1994	PCT				
	0 661 561 A3	Dec. 21, 1994	PCT				

Other Documents (Including Author, Title, Date Pertinent Pages, Etc.)		

EXAMINER	DATE CONSIDERED
<b>EXAMINER:</b> Initial if citation is considered, whether or not citation is in conformance with MPEP § 609: Draw Line through citation if not conformance and not considered. Include copy with next communication to applicant.	